

Azole Resistance and ERG11 464 Polymorphism in Oral *Candida albicans* Clinical Strains Isolated in Sardinia

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Abstract: The *in vitro* activity of three different azoles (fluconazole, FLC, voriconazole, VRC and ketoconazole, KTC) was determined and correlated with the single nucleotide polymorphisms (SNPs) in a “hot spot” region of the ERG11 gene in a collection of 52 arbitrarily selected *C. albicans* strains isolated from Sardinian subjects with oropharyngeal candidiasis. Among the strains evaluated, 23.1% were resistant, 9.6% Sensible Dose Dependent (SDD) and 67.3% susceptible to FLC. Among the FLC resistant strains, 83.3% were also cross-resistant to VRC (10/12) and 66.6% to KTC (8/12). The homozygous point mutation G464S was only detected in four out of five SDD to fluconazole strains. These data showed that the resistance of *Candida albicans* to azoles and the mutation at codon 464 of ERG11 are not associated. In addition the results also indicate a high prevalence of azole-resistant and cross resistant strains among these patients.

INTRODUCTION

Oral candidiasis is an important and frequent nosologic entity of the buccal cavity; in most cases it is caused by the pathogenic action of *C. albicans*. Treatment of *C. albicans* infections has been greatly facilitated since the introduction of azole antifungal agents. However, recently the isolation of a considerable percentage of azole-resistant strains has become a significant clinical problem, particularly in immunocompromised or debilitated patients [1-2]. Currently very few publications have described the azole resistance pattern for clinical oral isolates of *C. albicans*, particularly for patients arbitrarily recruited in dental care units [3].

A variety of mechanisms or combinations of mechanisms are associated with the development of azole drug resistance in *C. albicans* [4-7]. One of these molecular mechanisms includes single nucleotide polymorphisms (SNPs) in the gene *ERG11*, which encodes the target enzyme of the azoles, the cytochrome P-450 lanosterol 14 alpha-demethylase (CYP51A1). Over the last few years, a considerable number of point mutations in *ERG11* gene have been associated with azole antifungal resistance in clinical isolates of *C. albicans*, by studies using *in vitro* sterol biosynthesis with cell-free extracts and in spectrophotometric studies with recombinant proteins [8-12]. In particular, Marichal *et al.* [13] have listed the *ERG11* sequences in azole-resistant isolates of *C. albicans*. They found the majority of the mutations in three regions, from amino acids 105 to 165, 266 to 287 and 405 to 488, suggesting the existence of three hotspot regions. One of the mutations most frequently associated with azole

resistance was the substitution G464S, causing a modification of the heme environment [11, 14].

In recent years, the development of techniques to rapidly predict susceptibility to antifungal drug treatment has been under active investigation. Despite the existence of a number of useful methods for mutation detection, a critical goal in biomedicine is the ability to sequence a large number of DNA samples rapidly and accurately. In this paper we describe the application of a Pyrosequencing method [13-16] for detecting point mutations in a short *ERG11* fragment (codons 464 to 474) in 52 oral clinical isolates of *C. albicans* with different susceptibility profile to fluconazole, voriconazole and ketoconazole.

MATERIALS AND METHODOLOGY

Clinical Samples

An oral swab was collected from 52 Sardinian subjects, aged from 59 to 76 (mean 65), recruited over seven years (2000-2006) at the Department of Dental Disease Prevention (University of Cagliari). All the subjects had given informed consent to take part in the microbiological analysis. None of the patients who took part in the experiments had undergone antimycotic therapy during the previous month. These subjects reported a non-immunocompromised status. The samples were taken by brushing the lesions with a sterile swab. These specimens were plated in Sabouraud glucose agar for 48 h at 35°C (Microbiol, Cagliari, Italy). The colonies were identified with an API ID32C system (Biomérieux, St Louis, MO) and maintained at -20°C in skim milk (Oxoid, Basingstoke, UK).

Antifungal Susceptibility Testing

Antifungal susceptibility tests were performed in sterile flat-bottom 96-well microplates, according to the methodology recommended by the Clinical and Laboratory Standards

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Institute (CLSI, formerly the National Committee for Clinical Laboratory Standards [NCCLS]) document M-27 A2 [17]. Pure standard compounds of the following antifungal drugs were tested: fluconazole (FLC) and voriconazole (VRC), generously donated by Pfizer (New York, USA) and ketoconazole (KTC) (RBI, Natick, MA, USA). The interpretative breakpoints for FLC and VRC were determined according to the recent CLSI guide (CLSI subcommittee, January 2005 meeting), considering isolates with a MIC >64 µg/ml for FLC and >4 µg/ml for VRC as resistant, isolates with a MIC between 16 and 32 µg/ml for FLC and 2 µg/ml for VRC as Susceptible Dose Dependent (SDD) and isolates with a MIC <8 µg/ml for FLC and <1 µg/ml for VRC as susceptible. Due to a lack of consensus about the definition of MIC breakpoints for KTC, arbitrary values were established according to previous studies: resistant for isolates with a MIC >1 µg/ml, SDD for isolates with a MIC between 0.25 and 0.50 µg/ml and susceptible for isolates with a MIC <0.125 µg/ml [18]. Tests were repeated at least three times on three separate days. *C. krusei* (ATCC 6258) and *C. parapsilosis* (ATCC 22019) were included as quality control strains.

Primer Design

Oligonucleotides used in this procedure were designed using the *ERG11* sequence of *C. albicans* ATCC 44858 obtained from the NCBI database (GenBank accession number AF153844). Possible oligonucleotide dimer formation, self-complementarity and annealing temperatures for PCR and Pyrosequencing reaction were calculated using the Oligo program vs.6 (MedProbe, Oslo, Norway). The prediction of the T_m DNA secondary structure for the *Erg11* fragment was calculated with the *mfold* program: <http://mfold.bioinfo.rpi.edu/> [19]. T_m folding conditions were: Na^+ 1 mol l⁻¹; Mg^{2+} , 0 mol l⁻¹; hybridization temperature, 37°C. Pyrosequencing PCR primers: OG 212 (5'-GATTATGGGTTTGGGAAAGT-3'), position 1336-1355) and OG237 (5'-AATCAGGGTCA-GGCACTTT-3') position 1513-1495) were designed to flank a region of 178 bp of the *ERG11* gene (nucleotide positions 1336 to 1513 of the 1587 bp long gene). Oligo OG 237 was biotinylated at its 5' prime end. The pyrosequencing primer was OG 238 (5'-GTTTCTTCACCTTATTTACCATT-3' position 1366-1388).

Erg11 Fragment Amplification by PCR

A 178 bp fragment consisting of the *ERG11* gene was amplified by PCR from cell suspension (10^7 CFU/ml). Amplification was performed in 50 µl reaction volumes using MegaMix 2MM-5 (Microzone Limited, West Sussex, UK) according to the manufacturer's instructions. The mixture contained: 5 µmol l⁻¹ of each primer (OG212 and 5'-biotinylated OG237), 2 mmol l⁻¹ MgCl₂, and 1 µl of cell suspension. The thermocycler profile was as follows: an initial denaturation at 95°C for 5 min; 35 cycles consisting of 48°C for 1 min, 68°C for 3 min and 40 sec, 95°C for 1 min and a final extension at 68°C for 10 min.

PCR Sample Preparation for the Pyrosequencing

Biotinylated PCR products were immobilized on streptavidin-coated Sepharose beads (Amersham Biosciences, Milano). Fifty µl of binding buffer (Pyrosequencing AB, Uppsala, Sweden) were added to 50 µl of the PCR product;

then 4 µl of streptavidin-coated Sepharose beads were added, and the solution was vigorously mixed at room temperature for 10 minutes. The mixture was transferred to a filter plate (Millipore, Amsterdam, NL) and the binding buffer was removed by vacuum. The biotinylated DNA attached to the streptavidin-coated Sepharose beads was denatured in 50 µl of denaturation buffer (Pyrosequencing AB, Uppsala, Sweden) for 1 min. The Denaturation buffer was removed by vacuum and the DNA was washed twice with 150 µl of Wash Buffer (Pyrosequencing AB, Uppsala, Sweden). The ssDNA was resuspended in 50 µl of annealing buffer (Pyrosequencing AB, Uppsala, Sweden). Pyrosequencing reaction. The annealing reaction was performed at 60°C for 5 minutes on a thermoblock (MPM Instruments, Milano, Italy). The annealing mixture contained: 35 µl of ssDNA suspension, 5 µmol l⁻¹ of OG238 sequence primer, 1.5 mmol l⁻¹ MgCl₂. After the annealing reaction, 2 µl of SSB reagent (Pyrosequencing AB, Uppsala, Sweden) was added to the mixture. Pyrosequencing was performed at 25°C in an automated 96-well Pyrosequencing instrument using a PSQ™ 96 SQA Kit containing enzymes, substrates and nucleotides for cyclic addition, according to the manufacturer's instructions (Pyrosequencing AB, Uppsala, Sweden). Pyrosequencing data were evaluated using Peak Height Determination Software v1.1 (Pyrosequencing AB, Uppsala, Sweden).

RESULTS AND DISCUSSION

A collection of clinical *C. albicans* isolates was examined for drug susceptibility testing, and by a real time sequencing method (Pyrosequencing). Table 1 shows that of the 52 strains evaluated, 12 were resistant, 5 SDD and 35 susceptible to FLC. Among the FLC resistant strains, 10 were also cross-resistant to VRC and 8 to KTC. The point mutation GGT464AGT, leading to the amino acid substitution Glycine with Serine was detected in four of the five strains demonstrating fluconazole MICs of 16-32 µg/ml (the exception was CA 74). Among these, CA 75 and CA 64 strains were also resistant/SDD to VRC and CA64 to KTC; on the contrary, CA 27 and CA 53 strains were susceptible to the other triazole agents. A wild type profile was present in all remaining strains (48/52 strains).

Fig. (1) shows representative pyrograms identifying the wild type (a) and the mutated codon 464 (b) in the *ERG11* gene section under analysis. During the cyclic nucleotide dispensation strategy, nucleotides were repeatedly added in the order A, C, G, T. With the use of Pyrosequencing we were able to distinguish between strains that were hetero- or homozygous for the presence of the mutation [15]. Profile b was identical in all four mutated strains and the peak height, indicating the G/A polymorphism, shows a homozygous point mutation.

Recently, molecular assays have been developed to detect mutations associated with antifungal resistance in *C. albicans*. These include the use of specific hybridisation probes for use with the LightCycler, a line probe assay and PCR restriction fragment length polymorphism analysis [9, 10, 20-22]. In this work, we have investigated the possibility of typing single-base variations in the *ERG11* fragment of *C. albicans* strains by using a recently developed sequencing technique, called "Pyrosequencing", which allows for rapid real-time identification of nucleotides. We have focused our

study on the sequence between nucleotide positions 1336 to 1513 of the 1587 bp long *ERG11* gene in which three point mutations (G464S, G465S and R467K) are located, previously described in *C. albicans* FLC-resistant strains [9,13]. All these amino acids are located near the heme binding site, and the mutations probably result in structural or functional alterations. Among these, G464S is the most frequent mutation associated with azole resistance [11, 14, 20, 22] while few reports exist regarding the others [8, 9, 12, 13]. We observed homozygous mutation G464S in only 4 out of 5 of the isolates for which the MICs of FLC were between 16 and 32 µg/ml (SDD strains), while no changes were shown in the *ERG11* nucleotide sequence in FLC-resistant or susceptible isolates. Moreover, when the MICs of the other azole derivatives were examined in these mutant strains, only one KTC-resistant and one VRC-resistant strain were found. Our results seem to support the hypothesis that the resistance of *Candida albicans* to azoles and the Gly-->Ser mutation at codon 464 of *ERG11* are not associated.

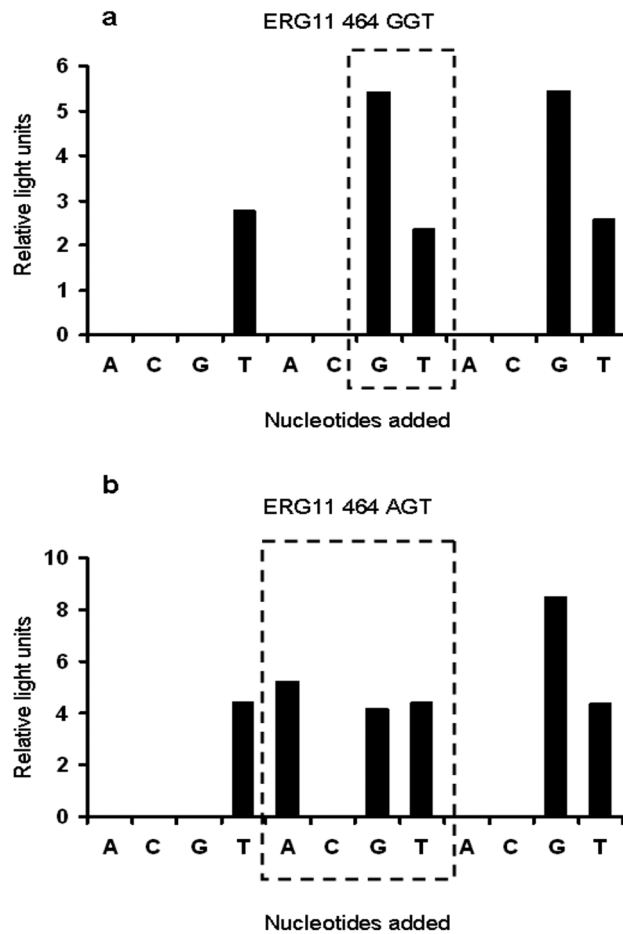


Fig. (1). Pyrograms obtained with *ERG11* fragment: allele with codon 464 wild-type (a) and mutated codon 464 (b).

Current therapeutic strategy for infection control in patients with oral Candidiasis, foresees initial treatment with Nystatin or Myconazole, and a subsequent local application of Fluconazole (FLC) or Ketoconazole (KTC) as an alternative drug, particularly when extension into the esophagus is suspected. In some clinical studies Fluconazole was shown

Table 1. Correlation Between the MICs of Three Different Azoles and Mutations Found in the *ERG11* Analyzed Fragment, Isolates are Ordered Sequentially by Fluconazole-Resistance Values

Isolate	MIC µg/ml			<i>ERG11</i> Profile
	FLC	VRC	KTC	
CA 21	>64	>8	4	Wt
CA 25	>64	>8	4	Wt
CA 49	>64	>8	8	Wt
CA 95	>64	>8	16	Wt
CA 97	>64	>8	>16	Wt
CA 100	>64	>8	>16	Wt
CA 42	>64	>8	<0,03	Wt
CA 47	>64	>8	<0,03	Wt
CA 62	>64	>8	<0,03	Wt
CA 72	>64	0,5	16	Wt
CA 89	>64	>8	<0,03	Wt
CA 91	>64	<0,015	2	Wt
CA 27	32	0,5	<0,03	G464S
CA 64	32	1	8	G464S
CA 75	32	4	0,06	G464S
CA 53	16	0,5	0,06	G464S
CA 74	16	0,03	<0,03	Wt
CA 57	2	0,03	<0,03	Wt
CA 66	2	0,03	<0,03	Wt
CA 80	2	0,25	<0,03	Wt
CA 11	1	>8	<0,03	Wt
CA 33	1	0,03	<0,03	Wt
CA 36	1	>8	16	Wt
CA 51	1	0,06	<0,03	Wt
CA 67	1	<0,015	<0,03	Wt
CA 68	1	0,03	<0,03	Wt
CA 86	1	0,03	<0,03	Wt
CA 87	1	<0,015	<0,03	Wt
CA 8	0,5	>8	<0,03	Wt
CA 9	0,5	>8	<0,03	Wt
CA 50	0,5	<0,015	8	Wt
CA 6	0,5	0,06	<0,03	Wt
CA 24	0,5	0,03	<0,03	Wt
CA 34	0,5	0,03	<0,03	Wt
CA 48	0,5	<0,015	<0,03	Wt
CA 56	0,5	<0,015	<0,03	Wt
CA 58	0,5	<0,015	<0,03	Wt
CA 60	0,5	<0,015	<0,03	Wt
CA 70	0,5	<0,015	<0,03	Wt
CA 73	0,5	0,03	<0,03	Wt
CA 76	0,5	<0,015	<0,03	Wt
CA 79	0,5	<0,015	<0,03	Wt
CA 4	0,25	<0,015	<0,03	Wt
CA 5	0,25	2	<0,03	Wt
CA 12	0,25	<0,015	<0,03	Wt
CA 18	0,25	0,03	<0,03	Wt
CA 19	0,25	<0,015	<0,03	Wt
CA 35	0,25	0,03	<0,03	Wt
CA 37	0,25	<0,015	<0,03	Wt
CA 26	<0,125	0,06	16	Wt
CA 22	<0,125	<0,015	<0,03	Wt
CA 28	<0,125	<0,015	<0,03	Wt

The azole MIC coordinates were: **FLC**: R >64 µg/ml, DDS 16-32 µg/ml, S <8 µg/ml; **VRC**: R >4 µg/ml, DDS 2 µg/ml, S <1 µg/ml; **KTC**: R >1 µg/ml, DDS 0.25-0.50 µg/ml, S <0.125 µg/ml. (NCCLS, <http://www.nccls.org>).

to be superior to a Nystatin suspension for the treatment of oral thrush in otherwise healthy infants or in HIV-infected patients [18,22]. Recently, second-generation triazoles, such as Voriconazole (VRC) have been used in oral candidiasis. In particular this azole has a broad-spectrum activity against yeasts and moulds, including the *Aspergillus* species. A limited number of papers have described the azole susceptibility pattern for clinical isolates of *C. albicans* in the oral cavity from non-immunocompromised patients. The usual susceptibility patterns for oral *C. albicans* isolates, particularly with strains obtained from patients who had attended the dental hospital, showed a low resistance (0.3%) against FLC [23]. In contrast, we described a relatively high rate of resistant strains isolated in the oral cavity in (apparently) non-immunocompromised patients. In fact in the present study, among these antimicrobial agents tested, a total of 12 *C. albicans* isolates were found to be resistant to fluconazole. Ten of the fluconazole-resistant isolates (83.3%) and 4 out of 35 (11.4%) of fluconazole-susceptible isolates were found to be resistant to voriconazole. In addition, the prevalence of resistance to ketoconazole in fluconazole-resistant *Candida* isolates was 8 out of 12 (66.6%), and 4 were recorded in 40 FLC susceptible or DDS (10%). The multi Drug resistant strains with VRC-FLC-KTC, pattern were 6/52 (11.5%). These results showed a high presence of azole resistant (and cross resistant) *C. albicans* strains in Sardinian patients with oral thrush, in particular we have observed elevated MICs to the new triazole drug (VRC n = 14/52 26.9%). Among the possible explanations, could be the typology (the age) and the role of these patients in drug therapy effectiveness, i.e. not taking a full course of therapy.

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